



1834 U.S.PTO

Frederick P. Fish
1855-1930W.K. Richardson
1859-1951

FISH & RICHARDSON P.C.

12390 El Camino Real
San Diego, California
92130

March 18, 2004

Telephone
858 678-5070Facsimile
858 678-5099Web Site
www.fr.com

Attorney Docket No.: 12227-070001

17497 U.S.PTO
10/804279**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Presented for filing is a new patent application claiming priority from a provisional patent application of:

FR

BOSTON
 DALLAS
 DELAWARE
 NEW YORK
 SAN DIEGO
 SILICON VALLEY
 TWIN CITIES
 WASHINGTON, DC

Applicant: WEI-CHENG WILSON LIN AND BO PI

Title: OPTICAL DEVICES HAVING WGM CAVITY COUPLED TO SIDE-POLISHED FIBER PORT

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	45
Claims	7
Abstract	1
Declaration	2
Drawing(s)	26

Enclosures:

- Assignment cover sheet and an assignment, 2 pages, and a separate \$40 fee.
- Small entity statement. This application is entitled to small entity status.
- Postcard.
- Request for Non-Publication.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/456,821, filed March 21, 2003.

Basic filing fee	\$385
Total claims in excess of 20 times \$9	\$306
Independent claims in excess of 3 times \$43	\$43
Fee for multiple dependent claims	\$0
Total filing fee:	\$734

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Date of Deposit

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A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

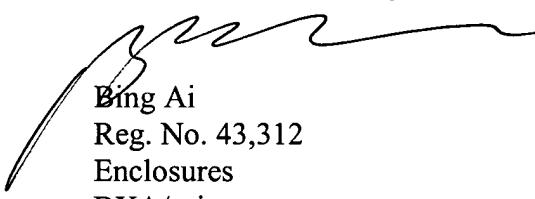
Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

20985

PTO Customer Number

Respectfully submitted,



Bing Ai
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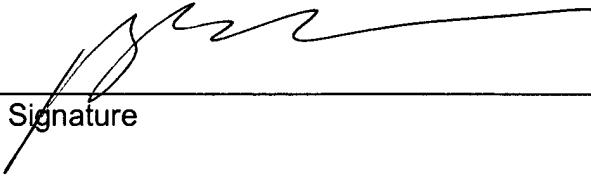
**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Wei-cheng Wilson Lin et al.
Title	Optical Devices Having WGM Cavity Coupled to Side-Polished Fiber Port
Atty Docket Number	12227-070001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/18/2004

Date



Signature

Bing Ai

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**